



Poverty & Human Development Monitoring Agency (PHDMA)
Planning & Convergence Department



Letter No. 428/02/2024

Date: 12.06.2024

Notice

REQUEST FOR PROPOSAL (RFP)

Selection of three categories of agencies for empanelment to provide services towards Survey, Monitoring, Evaluation and Documentation related to Schemes/Programmes in Poverty Eradication & Human Development Perspective for Continuous Monitoring System (CMS) of PHDMA

Poverty & Human Development Monitoring Agency (PHDMA), Planning & Convergence Department, Government of Odisha invites sealed proposals from eligible bidders of three different category to provide required technical services for the following purposes related to Schemes/Programmes in Poverty Eradication & Human Development Perspective for its *Continuous Monitoring System (CMS)*.

- i. Survey and Monitoring.**
- ii. Documentation and Monitoring.**
- iii. Evaluation of Schemes/Programmes/Initiatives of Government.**

Bidders fulfilling the prescribed eligibility criteria of the RFP can access and download the complete RFP Document including other details from the website i.e., <https://odisha.gov.in> and <https://phdma.odisha.gov.in>. Additionally, bidders may offer their services based on their expertise for any one or more of the above purposes outlined in the ToR of this RFP Document.

The major events under the bid process are:

Sl. No.	List of Key Events	Critical Dates
1	Date of Issue of RFP	12.06.2024
2	Last Date for Submission of Bid	08.07.2024
3	Date of Opening of Technical Bid	12.07.2024

The proposal complete in all respects must reach the undersigned by **Speed Post/ Registered Post /Courier Service** only latest by **08.07.2024 before 4.00 PM** in a sealed envelope clearly mentioning on the top of it “**Selection of Agency for empanelment to provide services towards <the bidder should write here any one or more of the above purposes as per their expertise> related to Schemes/Programmes in Poverty Eradication & Human Development Perspective for Continuous Monitoring System (CMS) of PHDMA**”. The proposals received beyond the last date and time will be rejected. The authority reserves the right to reject any/ all proposals without assigning any reason thereof.

Address for Submission of Proposal:

Member Secretary,
Poverty & Monitoring Agency (PHDMA)
Planning & Convergence Department,
Lokaseva Bhavan, Bhubaneswar,
PIN-751001 Odisha

Sd/-
Member Secretary, PHDMA



GOVERNMENT OF ODISHA

REQUEST FOR PROPOSAL

**Selection of three categories of Agencies
for
Empanelment to provide services towards
Survey, Monitoring, Evaluation and Documentation
related to Schemes/Programmes in
Poverty Eradication & Human Development Perspective
for Continuous Monitoring System (CMS) of PHDMA**



TRANSFORMATIVE INITIATIVE AND
SUSTAINABLE DEVELOPMENT

**POVERTY & HUMAN DEVELOPMENT MONITORING AGENCY
PLANNING & CONVERGENCE DEPARTMENT**

June, 2024

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DISCLAIMER

This Request for Proposal (**RFP**) is issued by the **Poverty & Human Development Monitoring Agency (PHDMA)**, Planning & Convergence Department, Government of Odisha.

While the information in this RFP has been prepared in good faith, it does not support to be comprehensive or to have been independently verified. Neither **PHDMA** nor any of its officers or employees, nor any of their advisers nor specialists / professionals and/or consultants accept any liability or responsibility for the accuracy, reasonableness or completeness of, or for any errors, omissions or misstatements, negligent or otherwise, relating to the proposed assignment, or makes any representation or warranty, express or implied, with respect to the information contained in this **RFP** or on which this **RFP** is based or with respect to any written or oral information made or to be made available to any of the recipients or their professional advisers and, so far as permitted by law and except in the case of fraudulent misrepresentation by the party concerned, and liability therefore is hereby expressly disclaimed.

The information contained in this **RFP** is selective and is subject to updating, expansion, revision and amendment at the sole discretion of the **Client**. It does not claim to contain all the information that a recipient may require for the purposes for making a decision for participation in this selection process. Each bidder must conduct its own analysis of the information contained in this **RFP**, to correct any inaccuracies therein and is advised to carry out its own investigation into the proposed assignment, the regulatory regime which applies thereto and by and all matters pertinent to the project and to seek its own professional advice on the legal, financial and regulatory consequences of entering into any agreement or arrangement relating to the project.

This RFP includes certain statements, information, projections and forecasts with respect to the proposed assignment. Such statements, information, projections and forecasts reflect various assumptions made by the management, officers and employees of the **Client**, which (the assumptions and the base information on which they are made) may or may not prove to be correct. No representation or warranty is given as to the reasonableness of forecasts or the assumptions on which they may be based and nothing in this **RFP** is, or should be relied on as, a promise, representation or warranty.

PHDMA, Planning & Convergence Department, Government of Odisha shall be the sole and final authority with respect to selection of the Agency for the purpose through this **RFP**.

BIDDER DATA SHEET

Sl. No.	Particular	Details
1.	Name of the Client	Poverty & Human Development Monitoring Agency (PHDMA)
2.	Availability of RFP Document	https://odisha.gov.in https://phdma.odisha.gov.in
3.	Date of Issue of RFP	12.06.2024
4.	Deadline for Submission of Pre-Proposal Query	25.06.2024
5.	Issue of Pre-proposal Clarifications	29.06.2024
6.	Last Date for submission of Proposal	08.07.2024
8.	Date of opening of Technical Proposal	12.07.2024
9.	Bid Processing Fee (Non-Refundable)	INR1,000/- (Rupees One Thousand) (including GST) in the form of demand draft drawn in favor of “Poverty & Human Development Monitoring Agency (PHDMA), Planning & Convergence Department” drawn in any Scheduled Commercial Bank payable at Bhubaneswar. The Bid Processing Fee shall be submitted along with the Inner Envelope of the Technical Proposal.
10.	Bid Security Declaration	All bidders will be required to submit a bid security declaration as provided in TECH 11 of this document.
11.	Contact Person	OSD, PHDMA, P & C Department, Lokaseva Bhavan, Bhubaneswar- 751001.
12.	Address for Submission of Proposal	Member Secretary, PHDMA, 2 nd Floor North Annex Building, Lokaseva Bhavan, Odisha State Secretariat Bhubaneswar, PIN-751001 Odisha Mode of Submission: Speed Post / Registered Post / Courier Service only to the address as specified above during the office hour only. Submission of bid through any other mode and late bid will be rejected.

13.	Place of Opening of Proposal:	Conference Hall of PHDMA, P & C Department, 2nd Floor North Annex Building, Lokaseva Bhavan, Odisha State Secretariat Bhubaneswar, PIN-751001 Odisha
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For details please visit: <https://phdma.odisha.gov.in>

SECTION: 1

LETTER OF INVITATION

LETTER OF INVITATION

RFP No: 428

Dated: 12.06.2024

Name of the Assignment: *Selection of three categories of agencies for empanelment to provide services towards (i) Survey and Monitoring (ii) Documentation and Monitoring, and (iii) Evaluation related to Schemes/Programmes/ Initiatives of Government in Poverty Eradication & Human Development Perspective for Continuous Monitoring System (CMS) of PHDMA*

1. **PHDMA**, P & C Department, Government of Odisha (“**The Client**”) invites sealed proposal from eligible bidder for “*Selection of three categories of agencies for empanelment to provide services towards (i) Survey and Monitoring (ii) Documentation and Monitoring, and (iii) Evaluation related to Schemes/Programmes/ Initiatives of Government related to Schemes/Programmes in Poverty Eradication & Human Development Perspective for Continuous Monitoring System (CMS) of PHDMA*”. More details are provided at **Section-3: Terms of Reference (ToR)** of this RFP Document.
2. A bidder will be empanelled under criteria and procedure of evaluation of Technical Proposal as prescribed in this RFP Document adhering to the “**Guidelines for Engagement of Consultants and Outsourcing of Services**” in accordance with the policies and procedures vide Office Memorandum No. 37323/F, Dated: 30.11.2018 of Finance Department, Government of Odisha.
3. The proposal complete in all respect as specified in the RFP Document must be accompanied with a **Non- refundable** amount of **Rs. 1,000/- (Rupees One Thousand only)** towards **Bid Processing Fee** in favor of “**Poverty & Human Development Monitoring Agency, P&C Department**”, drawn in any scheduled commercial bank and payable at Bhubaneswar, Odisha failing which the bid will be rejected.
4. The proposal must be delivered at the specified address as per the Bidder Data Sheet by **Speed post / Registered Post / Courier Service** only. The Client shall not be responsible for postal delay or any consequence. Submission of proposal through any other mode will be rejected.
5. The last date and time for submission of proposal complete in all respects is **Dt. 08.07.2024 before 4.00 PM** and the date of opening of the technical proposal is **Dt. 12.07.2024 in the presence of the bidder’s representative** at the specified address as mentioned in the Bidder Data Sheet (**Sl. no.13**). Representatives of the bidders may attend the meeting with due authorization letter on behalf of the bidder.

6. This RFP includes following sections:
 - a. Letter of Invitation [**Section – 1**]
 - b. Information to the Bidder [**Section – 2**]
 - c. Terms of Reference [**Section – 3**]
 - d. Technical Proposal Submission Forms [**Section – 4**]
 - e. Annexure (**Section – 5**)

7. While all information/data given in the RFP are accurate within the consideration of scope of the proposed assignment to the best of the **Client's** knowledge, the **Client** holds no responsibility for accuracy of information and it is the responsibility of the bidder to check the validity of information/data included in this RFP. The Client reserves the right to accept / reject any / all proposals / cancel the entire selection process at any stage **without assigning any reason thereof.**

Sd/-
Member Secretary, PHDMA

SECTION: 2

INFORMATION TO THE BIDDER

1. Pre-Qualification Criteria:

Before opening and evaluation of the technical proposals, each bidder will be assessed based on the following pre-qualification criteria. The bidder is required to produce the copies of the required supportive documents / information as part of their *Technical Proposal* failing which the proposals will be rejected.

Sl. No.	Eligibility Criteria	Supportive Documents
1.	The Bidder should be a Company/ Firm/ LLP / Society/ Trust registered in India with a track record of providing consulting/ advisory services for at least 3 years as on <i>March 31, 2023</i> .	Incorporation/ Registration/Incorporation Certificate.
2.	The Bidder should have an average turnover of minimum <i>INR 5 Crore (Five Crore Only)</i> during last three financial years (FY 2020-21, FY 2021-22, FY 2022-23).	Certificate from statutory auditor/ audited financial statements for the three financial years.
3.	The Bidder should have experience of working at least on three (3) such assignments (i.e., with respect to <i>Survey, Monitoring, Evaluation and Documentation</i> of Socio-economic Development Programmes/Projects) of a minimum duration of <i>Six (6) Months</i> with Central/ State Government agencies / any other such Organizations in India.	Work Order/ Copy of agreement/ Completion certificate.
<i>N.B.: Any kind of consortium/ Joint Venture with other firms is not allowed.</i>		

2. Documents / Formats needs to be submitted along with TECHNICAL PROPOSAL :

The bidder has to furnish the following documents duly signed in along with their Technical Proposal:

- Filled in Bid Submission Check List in Original (**Annexure-I**)
- Covering letter (**TECH – 1**) on bidder’s letterhead requesting to participate in the selection process.
- Bid Processing Fee as applicable.
- Copy of Certificate of Incorporation/ Registration.
- Copy of PAN.
- Copy of Goods and Services Tax Identification Number (GSTIN).
- Copies of IT Return for the last three assessment years (**AY 2020-21, 2021-22 & 2022-23**).
- General Details of the Bidder (**TECH – 2**).
- Financial Details of the bidder (**TECH – 3**) along with all the supportive documents as applicable duly signed as per the instruction.
- Power of Attorney (**TECH – 4**) in favor of the person signing the bid on behalf of the bidder or Board of Directors
- List of completed assignments of similar nature (Past Experience Details, **TECH- 5**) along with copies of contracts / work orders / completion certificate from previous Clients.
- Self-Declaration regarding Conflict of Interest (**TECH - 6**)

Bidders should submit the required supporting documents as mentioned above. Bids not conforming to the eligibility criteria and non-submission of required documents as listed above will lead to rejection of the bid. Submission of forged documents will also result in rejection of the bid. Bidders are advised to study all instructions, forms, terms & conditions and other important information as mentioned in the RFP Document. The proposal must be complete in all respect, indexed and hard bound. Each page should be numbered and signed by the authorized representative.

3. Bid Processing Fee :

The bidder must furnish as part of technical proposal, the required bid processing fee amounting to **Rs. 1,000/- (One Thousand Rupees Only)** in shape of DD from any scheduled commercial bank

in favor of “**Poverty & Human Development Monitoring Agency, P&C Department**” payable at Bhubaneswar. Proposals received without bid processing fee will be out rightly rejected.

4. **Bid Security Declaration:**

All bidders will be required to submit a bid security declaration as provided in TECH 11 of this document. Any Bidder violating the stipulated conditions in the Bid Security Declaration will be suspended for a period of one year.

5. **Validity of the Proposal:**

Proposals shall remain valid for a period of **180 (One Hundred Eighty Days)** from the date of opening of the technical proposal. The **Client** reserves the rights to reject a proposal valid for a shorter period as non- responsive and will make the best efforts to finalize the selection process and award of the contract within the bid validity period. The bid validity period may be extended on mutual consent.

6. **Pre -Proposal Queries:**

Bidders are allowed to submit their queries in respect of the RFP and other details if any, to **PHDMA, P&C Department** through e-mail at phdmaodisha@gmail.com till **25.06.2024**. Clarifications to the above will be uploaded in the PHDMA website / clarified through email to the respective bidders for the purpose of preparation of the proposal. Request for alternation / change in existing terms and conditions of the RFP will not be considered / entertained.

7. **Submission of Proposal:**

Bidder must submit their proposals by **Registered Post / Speed Post / Courier Service** only to the specified address on or before the last date and time for submission of proposals as mentioned in Bidder Data Sheet. The Client will not be responsible for postal delay / any consequence in receiving of the proposal. The Client will not consider any proposal that arrives after the deadline as prescribed in the Bidder Data Sheet. Any Proposal received after the deadline will be out rightly rejected by the Client.

*Bidders should note that under this RFP notice, **three distinct types of agencies** will be chosen for empanelment based on their expertise for the three purposes listed below. Accordingly, bidders may submit proposals based on their expertise to provide services for **any one or more** of the following purposes.*

- i. **Survey and Monitoring.**
- ii. **Documentation and Monitoring.**
- iii. **Evaluation of Schemes/Programmes/Initiatives of Government.**

The procedure for submission of the proposal is described below:

i) **Technical Proposal (Original + 1 Copy):**

The envelope containing technical proposal shall be sealed and superscripted as “**Technical Proposal – Selection of Agency for Empanelment to provide services towards <the bidder should write here any one or more of the above purposes as per their expertise> related to Schemes/Programmes in Poverty Eradication & Human**

Development Perspective for Continuous Monitoring System (CMS) of PHDMA” and to be furnished inside one envelope. The duly filled-in technical proposal submission forms, with all the supportive documents and information have to be furnished as part of technical proposal.

ii) **Financial Proposal:**

No Financial Proposals are being sought for empanelment. The same shall be invited at the time of Request-for-Proposal of a Project.

Any deviation from the prescribed procedures / information / formats / conditions shall result in out-right rejection of the proposal. All the pages of the proposal have to be sealed and signed by the authorized representative of the bidder. Bids with any conditional offer shall be out rightly rejected. Any conditional bids will be rejected.

8. **Opening of the proposal:**

The ENVELOPE containing **TECHNICAL PROPOSAL** will be opened in the initial stage by the **Client** in presence of the bidder’s representatives at the location, date specified in the Bidder Data Sheet. The Client will constitute an Evaluation Committee (EC) to evaluate the proposals submitted by bidders. Only **one representative** with proper authorization letter from the participating bidder will be allowed to attend the bid opening meeting.

9. **Evaluation of Proposal:**

A two stage evaluation process will be conducted as explained below for evaluation of the proposals:

- **Preliminary Evaluation (1st Stage):** Preliminary evaluation of the proposals will be done to determine whether the proposal comply with the prescribed eligibility condition and the requisite documents / information have been properly furnished by the bidder or not. Submission of following documents / information will be verified:
 - ✓ Filled in Bid Submission Check List in Original (**Annexure-I**)
 - ✓ Covering letter (**TECH – 1**) on bidder’s letter head requesting to participate in the selection process.
 - ✓ Bid Processing Fee as applicable.
 - ✓ Copy of Certificate of Incorporation/ Registration.
 - ✓ Copy of PAN.
 - ✓ Copy of Goods and Services Tax Identification Number (GSTIN)
 - ✓ Copies of IT Return for the last three assessment years (**AY 2020-21, 2021-22 & 2022-23**).
 - ✓ General Details of the Bidder (**TECH – 2**).
 - ✓ Financial Details of the bidder (**TECH – 3**) along with all the supportive documents as applicable duly signed and certified as per the instruction.
 - ✓ Power of Attorney (**TECH – 4**) in favor of the person signing the bid on behalf of the bidder.
 - ✓ List of completed assignments of similar nature (Past Experience Details, **TECH – 5**) along with copies of contracts / work orders / completion certificate from previous Clients.

- ✓ Self Declaration on Conflict of Interest (**TECH - 6**).
- ✓ Duly filled in Technical Proposal Forms (**TECH - 7 to 11**)
- ✓ All the pages of the proposal and enclosures/attachments are signed by the authorized representative of the bidder.

*** Bids not complying with any of the above requirement, will be outrightly rejected at the discretion of the Client's authority.**

- **TECHNICAL EVALUATION (2nd Stage):** Technical proposal will be opened and evaluated for those bidders who qualify the preliminary evaluation stage.
 - i. The Technical Proposal for empanelment will be evaluated based on Bidder's experience, its understanding of TOR, its survey & monitoring capabilities and competence, experience in process documentation of projects and understanding of different evaluation stages and type and the strength and experience of the Key Personnel.
 - ii. Copies of work orders/agreement/ completion certificates must be submitted as a proof for each assignment. No assignment should be repeated across various categories of evaluation parameters. Ongoing assignments will be considered for evaluation only if six (6) months of the project period have elapsed.
 - iii. Detailed evaluation process as per the following parameters and criteria will be adopted and scores will allocated to shortlist the Agencies for empanelment.

Criteria	Maximum Points
1. Experience of the bidder	20
<ul style="list-style-type: none"> • Experience of undertaking such assignments (i.e., Survey & Analysis / Monitoring, Evaluation and Documentation of Socio-Economic Development Programmes/Projects) of a minimum duration of Six (6) Months with <u>Central/ State Government agencies / Any other such Organization in India</u> in sectors concerning one or more of the 17 SDG Goals. 	10
<ul style="list-style-type: none"> • Experience of completing at least three (3) assignments of a minimum duration of Six (6) months each with different <i>State Government Departments/Departmental agencies in Odisha</i> related to House-to-House Survey & Analysis 	10

2. Technical Manpower having relevant experience in survey design, data collection for qualitative and quantitative analysis, monitoring & documentation on different socio-economic development theme / developmental programme / scheme and monitoring framework design for developmental programme / scheme.	40
3. Experience in capacity building of survey and monitoring team on different data collection and monitoring methodologies.	30
4. Firm turnover INR 5 Cr – INR 10 Cr – 5 points INR 10 Cr – INR 15 Cr – 7 points INR 15 Cr and above - 10 points	10
Total	100

- iv. The Bidders who have secured 70 marks from the total (100 marks) in their Technical Proposals shall be qualified for further consideration and shall be ranked from highest to the lowest based on their technical score (S_T) in the different category for which they have applied for.**

10. Short-listing and Empanelment

Only those Agencies who have **scored 70 marks or above** in the technical evaluation shall be qualified for empanelment on merit basis. Except qualified Agencies, other Agencies shall not be communicated about the empanelment.

11. Empanelment Tenure

Empanelment with the selected Agencies shall be maximum of **three (3) years**. Further period of extension may be considered subject to the requirement and approval of Government.

The selected Agency should arrange their own an Office space situated in Bhubaneswar, Odisha with necessary facilities for functioning of their Team for the purpose they have applied for.

12. Termination of Empanelment

- i. The empanelment can be terminated by both the parties in writing.
- ii. In case the empaneled Agency refused or not responded on minimum two consecutive proposals for undertaking, the PHDMA reserves the right to terminate the empanelment with the concerned Agency without assigning any reason thereof. Further, the Agency will not be considered to participate in the subsequent empanelment for a period of three years from the date of termination/refusal.
- iii. In case it is found that the draft/final report submitted by the Agency is without visiting

the assigned project or without conducting any field visit/field study/record review etc. or false & fabricated report or non-submission of final report within the stipulated time period, then the empanelment of such Agency shall be terminated immediately. Further, the Agency will not be considered to participate in the subsequent empanelment for a period of three years from the date of termination.

- iv. If the Agency, fail to display professionalism in their work; indulge in corrupt or fraudulent practices; fail to observe the basic survey/monitoring/evaluation/assessment and documentation ethics; and indulge in plagiarism. Then the empanelment of such Agency shall be terminated immediately. Further, the Agency will not be considered to participate in the subsequent empanelment for a period of three years from the date of termination.

13. Award of Contract:

- i. At the time of commissioning of the Project, the **Client** shall shortlist organizations amongst the empanelled Agencies that meet the eligibility criteria of the Project. Accordingly, Request for Proposal (RFP) for the Project shall be shared with such organizations along with the specific *Terms of Reference (ToR)*, thereby inviting proposals for the Project.
- ii. The **Client** may choose to carry out a two-envelope techno-financial evaluation or a single-envelope financial evaluation amongst the empanelled agencies depending on the requirements of the Project. The selection process and criteria for evaluation shall be outlined in the RFP of the Project.
- iii. Please note that the empanelment with PHDMA does not guarantee that any or all Agencies will be invited to bid for, or be awarded any project/assignment as a consequence of empanelment. The Authority is not under any obligation to provide or secure any assignment or contract to any individual, organization or institution.
- iv. While submitting the Financial Proposal, the Applicant shall ensure the following:
 - a. All the costs associated with the assignment shall be included in the *Financial Proposal*. These shall normally cover remuneration for all the Personnel (Resident, in the field, Office etc.), accommodation, air fare, equipment, printing of documents, surveys, geo-technical investigations etc. The total amount indicated in the *Financial Proposal* shall be without any condition attached or subject to any assumption, and shall be final and binding. In case any assumption or condition is indicated in the Financial Proposal, it shall be considered non-responsive and liable to be rejected.
 - b. The Financial Proposal shall take into account all expenses and tax liabilities. For the avoidance of doubt, it is clarified that all taxes shall be deemed to be included in the costs shown under different items of the *Financial Proposal*. Further, all payments shall be subject to deduction of taxes at source as per Applicable Laws.
 - c. Costs (including break down of costs) shall be expressed in INR.
- v. For projects with a *Least Cost Selection (LCS)* process where technical proposals are not required, a single-envelope financial evaluation process shall be carried out, and the Project shall be awarded to the lowest (L1) bidder as per OGFR Guide Line.
- vi. After completion of the contract negotiation stage, the Client will notify the successful bidder in writing by issuing an offer letter for signing the contract. The successful bidders will be asked to sign the contract after fulfilling all formalities within 15 days of issuance of the offer letter. After signing of the contract, no variation or modification of the terms

of the contract shall be made except by written amendment signed by both the parties. The contract will be **valid for a maximum period of three (3) years** from the date of effectiveness of the contract. ***Sub-contracting / outsourcing of any form shall not be allowed for any activities under this RFP.***

14. Contract Negotiation:

Contract negotiation, if required will be held at a date, time and address as intimated to the selected bidder/s. The bidder will, as a pre-requisite for attendance at the negotiations, confirm availability of all the proposed staff for the assignment. Representative conducting negotiations on behalf of the bidder must have written authority to negotiate and conclude a contract. Negotiation will be performed covering technical and financial aspects, if any and availability of proposed professionals etc.

15. Performance Bank Guarantee: (PBG)

Within 7 days of notifying the acceptance of a proposal for award of contract, qualified bidder shall have to furnish a Performance Bank Guarantee amounting to **3% of the contract value** from a scheduled commercial bank situated in Bhubaneswar in favor of **“Poverty & Human Development Monitoring agency, P & C Department”**, as per the format at **Annexure- II**, for a period of three months beyond the entire contract period (i.e. PBG must be valid from the date of effectiveness of the contract to a **period of 3 months beyond the contract period**) as its commitment to perform services under the contract. Failure to comply with the requirements shall constitute sufficient grounds for the forfeiture of the PBG. The PBG shall be released immediately after three months of expiry of contract provided there is no breach of contract on the part of the qualified bidder. No interest shall be paid on the PBG.

16. Conflict of Interest:

Conflict of interest exists in the event of practices prohibited under the anti-corruption policy of the Government of India and Government of Odisha. The bidders are to be careful so as not to give rise to a situation where there will be any conflict of interest with the Client as this would amount to their disqualification and breach of contract.

17. Disclosure:

- a. Bidders have an obligation to disclose any actual or potential conflict of interest. Failure to do so may lead to disqualification of the bidder or termination of its contract.
- b. Bidders must disclose if they are or have been the subject of any proceedings (such as blacklisting) or other arrangements relating to bankruptcy, insolvency or the financial

standing of the Bidder, including but not limited to appointment of any officer such as a receiver in relation to the Bidder's personal or business matters or an arrangement with creditors, or of any other similar proceedings.

- c. Bidders must disclose if they have been convicted of, or are the subject of any proceedings relating to:
- a criminal offence or other serious offence punishable under the law of the land, or where they have been found by any regulator or professional body to have committed professional misconduct;
 - corruption including the offer or receipt of an inducement of any kind in relation to obtaining any contract;
 - Failure to fulfill any obligations in any jurisdiction relating to the payment of taxes or social security contributions.

18. Anti-corruption Measure:

- a. Any effort by Bidder(s) to influence the Client in the evaluation and ranking of financial proposals, and recommendation for award of contract, will result in the rejection of the proposal.
- b. A recommendation for award of Contract shall be rejected if it is determined that the recommended bidder has directly, or through an agent, engaged in corrupt, fraudulent, collusive, or coercive practices in competing for the contract in question. In such cases, the Client shall blacklist the bidder either indefinitely or for a stated period of time, disqualifying it from participating in any related bidding process for the said period.

19. Language of Proposals:

The proposal and all related correspondence exchanged between the bidder and the Client shall be written in the **English** language. Supporting documents and printed literature that are part of the proposal may be in another language provided they are accompanied by an accurate translation of the relevant passages in English with self-certification for accuracy, in which case, for the purposes of interpretation of the Proposal, the translated version shall govern.

20. Cost of bidding:

The Bidder shall bear all costs associated with the preparation and submission of its proposal. The Client shall not be responsible or liable for those costs, regardless of the conduct or outcome of the bidding process. Bidder/s is/are not allowed to submit more than one proposal under the selection process. Alternate bids are also not allowed.

21. Legal Jurisdiction:

All legal disputes are subject to the jurisdiction of civil court of Bhubaneswar only.

22. Governing Law and Penalty Clause:

The schedule given for delivery is to be strictly adhered to in view of the strict time schedule. Any unjustified and unacceptable delay in delivery shall render the bidder liable for liquidated damages and thereafter the Client holds the option for cancellation of the contract for pending activities and complete the same from any other agency. The Client may deduct such sum from any money from their hands due or become due to bidder. The payment or deduction of such sums shall not relieve the bidder from his obligations and liabilities under the contract. The rights and obligations of the Client and the bidder under this contract will be governed by the prevailing laws of Government of India / Government of Odisha. Failure on bidder's part to furnish the deliverables as per the agreed timeline will enforce a penalty **@ 1% per week subject to maximum of 10% of the total contract value**. The amount will be deducted from the subsequent payment. In addition, the PBG amount shall also be forfeited. The decision of the authority placing the contract, whether the delay in development has taken place on account of reasons attributed to the bidder shall be final.

23. Confidentiality:

Information relating to evaluation of proposals and recommendations concerning awards shall not be disclosed to the bidders who submitted the proposals or to other persons not officially concerned with the process, until the publication of the award of contract. The undue use of confidential information by any professional engaged by the Agency under this assignment related to the process may result in rejection of its proposal and may be subject to the provisions of the Client's antifraud and corruption policy. During the execution of the assignment except with prior written consent of the Client, the professional of the Agency or its personnel shall not at any time communicate to any person or entity any confidential information acquired in the course of the contract.

24. Amendment of the RFP Document:

At any time before submission of proposals, the Client may amend the RFP by issuing an addendum through its website. Any such addendum will be binding on all the bidders. To give bidders reasonable time in which to take an addendum into account in preparing their proposals, the Client may, at its discretion, extend the deadline for the submission of the proposals.

25. Client's right to accept any proposal, and to reject any or all proposal/s

The Client reserves the right to accept or reject any proposal, and to annul or amend the bidding / selection / evaluation process and reject all proposals at any time prior to award of contract award, without assigning any reason there of and thereby incurring any liability to the bidders.

26. Copyright, Patents and Other Proprietary Rights:

PHDMA, P&C Department, Government of Odisha shall be entitled to all intellectual property and other proprietary rights including but not limited to patents, copyrights and trademarks, with regard to documents and other materials which bear a direct relation to or are prepared

or collected in consequence or in the course of the execution of this contract. At the Client's request, the professional of the agency shall take all necessary steps to submit them to the Client in compliance with the requirements of the contract.

27. Replacement of Key Personnel:

The key professionals to be deployed under this contract must be dedicated for the contract period. However, the Client reserves the right to request the Agency to replace the assigned personnel if they are not performing to a level of satisfaction. After written notification, the Agency will provide CV of appropriate candidates within Seven (7) days for review and approval. The Agency must replace the personnel within seven (7) working days from the date of approval of replacement. If one or more key personnel become unavailable / leave the project for any reason midway under the contract, the Agency must notify the Client at least fourteen (14) days in advance, and obtain the approval prior to making any substitution. In notifying the Client, the Agency shall provide an explanation of circumstances necessitating the proposed replacement and submit justification and qualification of replacement personnel in sufficient detail to permit evaluation of the impact on the engagement. Acceptance of a replacement of professional by the Client, the Agency shall not relieve the professional from responsibility. Change in key professionals without due approval by the Authority will lead to implication of liquidated damage of **10% of the contract value**.

28. Force Majeure:

For purpose of this clause, "Force Majeure" means an event beyond the control of the agency and not involving the agency's fault or negligence and not foreseeable. Such events may include, but are not restricted, wars or revolutions, fires, floods, riots, civil commotion, earthquake, epidemics or other natural disasters and restriction imposed by the Government or other bodies, which are beyond the control of the agency, which prevents or delays the execution of the order by the agency. If a force Majeure situation arises, the agency shall promptly notify Client in writing of such condition, the cause thereof and the change that is necessitated due to the condition. Until and unless otherwise directed by the Client in writing, the Agency shall continue to perform its obligations under the contract as far as is reasonably practical, and shall seek all reasonable alternative means for performance not prevented by the Force Majeure event. The agency shall advise Client in writing, the beginning and the end of the above causes of delay, within seven days of the occurrence and cessation of the Force Majeure condition. In the event of a delay lasting for more than one month, if arising out of causes of Force Majeure, Client reserve the right to cancel the contract without any obligation to compensate the agency in any manner for whatsoever reason.

29. Settlement of Disputes:

The Client and the Agency shall make every effort to resolve amicably, by direct informal negotiation, any disagreement or dispute arising between them under or arising from or in connection with the Contract within thirty (30) days from the commencement of such informal negotiation. All dispute resolution proceedings shall be held at Bhubaneswar, Odisha, and the language of such proceedings and that of all documents and communications between the parties shall be in English. Member Secretary, PHDMA, P & C Department will be the final authority to resolve the dispute arising between and the Client and Agency.

30. Disqualification of Proposal:

The proposal is liable to be disqualified in the following cases as listed below:

- Proposal submitted without Bid Processing Fee as applicable.
- Proposal not submitted in accordance with the procedure and formats as prescribed in the RFP. During validity of the proposal, or its extended period, if any, the bidder increases the quoted prices
- Proposal is received in incomplete form.
- Proposal is received after due date and time for submission of bid.
- Proposal is not accompanied by all the requisite documents / information.
- A Technical Bid submitted with assumptions or conditions.
- Bids with any conditional technical and financial offer.
- Proposal is not properly sealed or signed.
- Proposal is not conforming to the requirement of the scope of the work of the assignment.
- Bidder tries to influence the proposal evaluation process by unlawful/corrupt/fraudulent means at any point of time during the bid process.
- If, any of the bid documents (including but not limited to the hard and soft/electronic copies of the same, presentations during evaluation, clarifications provided by the bidder), excluding the commercial bid, submitted by the bidder is found to contain any information on price, pricing policy, pricing mechanism or any information indicative of the commercial aspects of the bid;
- Bidders or any person acting on its behalf indulges in corrupt and fraudulent practices;
- Any other condition / situation which holds the paramount interest of the Client during the overall *section process*.

SECTION: 3

TERMS OF REFERENCE (ToR)

1. Introduction

Poverty and Human Development Monitoring Agency (PHDMA), Bhubaneswar is an autonomous agency under the Planning & Convergence Department of the Government of Odisha. PHDMA aims at tracking the progress of poverty eradication, improving human development indicators, developing appropriate development programs and accessing & evaluating the outcome and impact of various programs and policies on the poor and vulnerable people of Odisha. The three broad working areas of PHDMA are given below:

- I. **Knowledge Management:** The collaborative movement has been made for developing professional knowledge & experience, accessing analytical research techniques and resources on inclusive socio-economic development for designing strategic and long-term policies and programs for the State Government. In view of the same, PHDMA has signed a Memorandum of Understanding (MoU) with reputed academic and research organizations.
- II. **Monitoring & Evaluation:** Use of the logic model for M&E and methods of monitoring that enable gap analysis and aid identification of catalysts for growth.
- III. **Documentation:** Documentation for branding best practices in the field of sustainable development and livelihood transformation in the State. A compilation of all such initiatives that will serve as a reference point for pro-people governance and repositories of policies and schemes that have impacted people's lives and envision the goal of transformation. Generating usable knowledge and disseminating the same to different line Departments/Stakeholders to improve policy regimes and program designs.

The Governing Body of PHDMA in its 7th GB meeting approved to take up a distinctive initiative on “*Continuous Monitoring of Multi-sectoral Interventions for Poverty alleviation and Human Development in selected geographies across the State*”. As a first social innovation initiative of the State by PHDMA, over a period of three years, a team of Young Professionals, Consultants Programme Coordinators/Immersion Associates and other Officials of PHDMA have visited across the State of Odisha with an aim of conducting deep sensing & listening and narrative-based evaluation of government initiatives. Unlike the conventional monitoring and evaluation processes, the team focused more on the narratives where the people spoke about their lived realities to analyze the quality of life towards Human Development.

In the above backdrop, to establish and functionalize a ‘**Continuous Monitoring System**’, PHDMA has set up three labs –*Visual Anthropology Lab, Narratives & Lived Reality Lab and Data Sensing Lab* with an aim of conducting more in-depth research and availing the stories and visuals collected from across the state to a larger audience as detailed hereunder:

1. The **Visual Anthropology Lab** with the nomenclature “*Frame Tells Lab*” which will monitor, evaluate & document human development through visual and video means.
2. The **Narratives and Lived Reality Lab** with the nomenclature “*Prarambha Lab*” which will monitor, evaluate and document human development through people’s own experiences and stories.
3. The **Data Sensing Lab** with the nomenclature “*Sankhya Lab*” which will monitor, evaluate and document development through analyzing global, national indicators, building compendium of data as well as house its own data collection mechanism.

In order to facilitate the above three labs on regular basis, PHDMA is looking for Agencies of national

repute having experience, innovative and dynamic & action research base mindset professional team for *Survey, Monitoring, Evaluation and Documentation*, who are sensitive to the local context in terms of gender, ethnicity and language skills as well as local knowledge of the survey area and thorough understanding of developmental programmes/schemes implemented by the State Government. The shortlisted Agencies will be empaneled to render required technical services by their Team, who shall be engaged for data collection through various qualitative data collection approach, for instance, seeing and sensing lives as people live it and as people narrate it and continuous monitoring and evaluation of developmental programmes/schemes implemented by the State Government by ensuring real-time assessment and the ability to address issues promptly.

2. Objective

The broad objectives with respect to above background are:

- To establish a robust data collection machinery for accurate and timely quality data collection through different socio-economic survey models for evidence-based decision-making.
- To actively monitor progress and evaluate developmental programmes/schemes implemented by the State Government as per defined monitoring framework and evaluation techniques in order to ensure real-time assessment of the same as well as promptly addressing the issues arises therein.
- To document best practices, human interest stories and narratives that have impacted people's lives and addressing the goal of transformation of the State through standardized documentation framework.

The scope of work and deliverables listed below are indicative only. Detailed requirements and timelines for specific assignments will be provided to the shortlisted Agency upon contract award.

3. Scope of the work

The Agencies to be empaneled should have high level of knowledge on Qualitative and Quantitative research methodologies and surveying tools used for the research as well as made substantial field experience in the State of Odisha. Accordingly, the empaneled agency shall be responsible for undertaking qualitative and quantitative research and their related works including designing of survey, field plans, field visits, inspections, training etc. for one or more of the following purposes as per their expertise towards strategic, time-bound and coordinated way.

- i. **Survey and Monitoring.**
- ii. **Documentation and Monitoring.**
- iii. **Evaluation of Schemes/Programmes/Initiatives of Government.**

i. Survey and Monitoring

- a) Engage a Survey and Monitoring Team locally in their own furnished Office working space in Bhubaneswar, Odisha with Office Automation setup. The Team should be led by a senior level Professional with a thorough background and skillset with regard to different survey design, various data collection techniques used for Qualitative and Quantitative research and monitoring to tell stories, interpreting, and communicating data into meaningful findings through a combination of text and visualizations.
- b) The composition of Survey and Monitoring Team should be combination of Principal Investigator at State Level with Support Staff, Supervisors at District Level and Enumerators/Immersion Associates at field level. The Agency is encouraged to include woman in the Team and use different tools for timely and quality data collection.

- c) The Principal Investigator ensures proper adaption the survey methodologies as agreed mutually with PHDMA and data collected on the context and survey planning; besides, selecting team members and providing Training to team members.
- d) Conduct data collection process, quality checks and data validation exercises to ensure quality of the overall data collection process.
- e) The Survey unit and sample size may vary by size and scale, which will be determined mutually as per the requirement of the context and approved by PHDMA. Wherein, the data will be collected either electronically / manually with accuracy from the households / community/ cohort/ likewise of the sample unit in face-to-face manner using the questionnaire or other tools are being used for data collection as approved by PHDMA across the State of Odisha.
- f) The exact scope of services, sampling methodology, survey types, size of the surveys, survey instruments, project duration, Key Personnel requirement and geographical coverage shall be communicated to the shortlisted empaneled institutions, as part of the Terms of Reference of the specific Project.

ii. Documentation and Monitoring

- g) The qualitative and quantitative data so collected on the selected theme / programmes / schemes/ context as per survey planning need to be cleaned and documented in the form of best practices, human interest stories and narratives.
- h) Provide technical assistance in various aspects such as report writing, compilation and archiving, and presentations (from design to delivery including data preparation, analysis and insight generation).
- i) Monitor and document about developmental programme/scheme data with respect to the process followed and achieving goal of programme/scheme.
- j) Undertake *Facility Surveys* for stocktaking of tangible assets and accessing & determining the deficiency / shortfall of required tangible assets with respect to implementation of developmental schemes / programmes.

iii. Evaluation of Schemes/Programmes/Initiatives of Government

- a) The empaneled agency shall be responsible for conducting qualitative and quantitative surveys and its related works as part of evaluation of different Development Schemes / Programmes implemented by the Government.
- b) The empaneled Agency need to explore and take up the recordkeeping process before conducting the evaluation with regard to operational guideline as well as expected outcomes / deliverables set forth under the said development scheme/programme implemented by the Government.
- c) The empaneled Agency is responsible for development of evaluation tools and methodology in consultation with PHDMA before execution of the assessment.
- d) The evaluating Agency will assess the strength and weakness of the implementing Institution on operation and process management of the said scheme/programme with respect to its implementation geographies.
- e) The evaluation methodology should be designed in such a manner that comprise of in depth interview with respect to different key stakeholders including *Households / Community/ Cohort/ likewise* and study of related documents/records.
- f) In order to assess the impact, the empaneled Agency should undertake comparative analysis between baseline and end line indicators / key service level deliverables specified in the operational guideline of the scheme/programme.

- g) The empaneled Agency will prepare draft and final evaluation reports covering all details with respect to the objectives of the Scheme/Programme comprising:
1. Project wise improvements in output/ outcomes with specific reference to concerned project indicators.
 2. Detailed process documentation along with listing of activities so as to conclude whether these were reasonable for specific impacts observed.
 3. Innovations / best practices carried out while implementation of the said scheme/programme.
 4. Strength, weakness and lesson learnt during implementation of the said scheme/programme.
 5. Recommendation and suggestions for taking corrective measures in future.

4. Period of Service

The selected Agency should provide their services for a period maximum of **three (3) years**. Further period of extension may be considered subject to the requirement and approval of Government.

5. Deliverables

The selected Agency has to provide/submit the following tangible deliverables to PHDMA.

- a) List of Manpower (including their contact details with phone) to be deployed by the Agency (Principal Investigator, Supervisors, and Enumerators/Immersion Associates) for conducting the Survey and Monitoring of developmental schemes / programmes implemented by the State Government.
- b) Inception report with final scope, methodology, approach, survey design, sampling plan, survey activity plan and pilot-tested survey instruments (including survey reference period, geography details etc.).
- c) Monitoring Activity Plan (including period of monitoring, scheme/programme details, geography details etc.).
- d) Mid-term report with initial findings of the survey / monitoring / evaluation.
- e) Draft report with overall findings of the survey / monitoring / evaluation for stakeholder consultations.
- f) Final report after incorporation of inputs from the all concerned stakeholders.
- g) Filled in Survey Schedules both hardcopy and electronic version (if any) and electronic data sheet.
- h) Stocktaking Reports of tangible assets (if any)
 - a. Standard Operating Procedure for Data Collection and Monitoring process.
 - b. Tools for monitoring, evaluating and documenting initiatives comparing scheme specific, regional specific indicators with global and national indicators.
 - c. Narrative reports on monthly basis collating of all work within the system.
 - d. Various forms, formats, documents on methods used for Survey and Monitoring.
 - e. Quarterly Physical Progress Report and Statement of Expenditure on utilization of fund.

6. Payment Schedules

The Service providing Agency shall send the fund requisition as per the approved budget on quarterly basis at the end of every quarter towards the utilization of fund and submit at this Office address for release of next tranche. PHDMA will release the payment to the Service providing Agency upon receipt

of the Quarterly Physical Progress Report and Statement of Expenditure on utilization of fund within seven (7) working days.

SECTION: 4

TECHNICAL PROPOSAL SUBMISSION FORMS

TECH-1
COVERING LETTER
(ON BIDDER'S LETTER HEAD)

[Location, Date]

To

**The Member Secretary,
Poverty & Human Development Monitoring Agency
Planning & Convergence Department
Lokseva Bhawan, Odisha State
Secretariat Bhubaneswar, PIN-751001,
Odisha**

Subject: Selection of Agency for providing Services towards < *based on their expertise, the bidder should write here any one or more of the purposes outlined in the 'ToR of this RFP' > related to Schemes/Programmes in Poverty Eradication & Human Development Perspective for Continuous Monitoring System (CMS) of PHDMA*

Dear Sir/Madam,

I, the undersigned, offer to provide the services for <*the bidder should write here any one or more of the purposes indicated in this RFP for which they are applying for as per their expertise*> in respect to your Request for Proposal No. _____, Dated: _____. I hereby submitting the proposal which includes this technical proposal sealed under a separate envelope. Our proposal will be valid for acceptance up to **180 Days** and I confirm that this proposal will remain binding upon us and may be accepted by you at any time before this expiry date.

All the information and statements made in this technical proposal are true and correct and I accept that any misinterpretation contained in it may lead to disqualification of our proposal. If negotiations are held during the period of validity of the proposal, I undertake to negotiate on the basis of the proposal submitted by us. Our proposal is binding upon us and subject to the modifications resulting from contract negotiations.

I have examined all the information as provided in your *Request for Proposal (RFP)* and offer to undertake the service described in accordance with the conditions and requirements of the selection process. I agree to bear all costs incurred by us in connection with the preparation and submission of this proposal and to bear any further pre-contract costs. In case, any provisions of this RFP/ToR including of our technical proposal is found to be deviated, then your Office shall have rights to reject our proposal. I confirm that, I have the authority to submit the proposal and to clarify any

REQUEST FOR PROPOSAL

details on its behalf.

I understand you are not bound to accept any proposal you receive.

I remain,

Yours faithfully,

Authorized Signatory with Date and Seal:

Name and Designation: _____

Address of Bidder : _____

TECH - 2

Bidder's Organization (General Details)

Sl. No.	Description	Full Details
1	Name of the Bidder	
2	Address for communication: Tel : Email id:	
3	Name of the authorized person signing & submitting the bid on behalf of the Bidder: Mobile No.: Email id:	
4	Registration / Incorporation Details Registration No: Date & Year. :	
5	Local office in Odisha If Yes, Please furnish contact details	Yes / No
6	Bid Processing Fee Details Amount: BC/DD No. : Date: Name of the Bank:	
7	PAN Number	
8	Goods and Services Tax Identification Number (GSTIN).	
9	Willing to carry out assignments as per the scope of work of the RFP.	Yes / No
10	Willing to accept all the terms and conditions as specified in the RFP.	Yes / No

Authorized Signatory [In full and initials]: _____ Name and Designation with Date and Seal: _____
Bidders should submit the required supporting documents as mentioned above. Non- submission of required documents as listed above will lead to rejection of the bid.

TECH-3

Bidder Organization (Financial Details)

Financial Information in INR				
Details	FY2020 -21	FY2021 -22	FY2022-23	Average
Consulting Turnover (in Lakh)				
<i>Supporting Documents:</i> Audited certified financial statements for the last three FYs (Submission of copies of Income & Expenditure Statement and Balance Sheet for the respective financial years is mandatory along with this form) <i>Filled in information in this format must have to be jointly certified and sealed by the CA and the authorized representative of the bidder and to be furnished in original along with the technical proposal failing which the proposal will be out rightly rejected. No scanned copy will be entertained.</i>				

Signature and Seal of the Company Auditor with Date in original

Authorized Signatory [In full initials with Date and Seal]: _____

Communication Address of the Bidder: _____

[NB: No Scanned Signature will be entertained]

Bidders should submit the required supporting documents as mentioned above. Non- submission of required documents as listed above will lead to rejection of the bid.

REQUEST FOR PROPOSAL

TECH - 4

FORMAT FOR POWER OF ATTORNEY

(On Bidders Letter Head)

I, _____, the _____ (Designation) of (Name of the Organization) in witness whereof certify that <Name of person> is authorized to execute the attorney on behalf of <Name of Organization>, <Designation of the person> of the company acting for and on behalf of the company under the authority conferred by the < Notification/ Authority order no.> Dated <date of reference> has signed this Power of attorney at <place> on this day of <day><month>, <year>.

The signatures of <Name of person> in whose favor authority is being made under the attorney given below are hereby certified.

Name of the Authorized Representative:

(Signature of the Authorized Representative with Date)

CERTIFIED:

Signature, Name & Designation of person executing attorney:

Address of the Bidder:

Note: Board resolution confirming the Authority of the signatory to submit the proposals could also be provided as a substitute to the Power of Attorney.

TECH - 5

(BIDDER'S PAST EXPERIENCE DETAILS)

Assignment Name:		Country:
Location within Country:		Professional Staff Provided by Your Firm / Entity(profiles):
Name of Client:		No of Staff:
Address:		No of Staff-Months:
Start Date:	Completion Date:	Approx. Value of Services (in INR):
Name of Associated professionals, If Any:		No of Months of Professional Staff:
Name of Senior Staff Involved and Corresponding Positions:		
Description of Project:		
Description of Actual Services Provided by Your Staff:		

TECH - 6

INFORMATION REGARDING ANY CONFLICTING ACTIVITIES AND DECLARATION THERE OF

Are there any activities carried out by your agency which are of conflicting nature as mentioned in Section 2: [Information to the Bidder] under Eligibility Criteria: Para (3). If yes, please furnish details of any such activities.

If no, please certify,

IN BIDDER'S LETTER HEAD

I, hereby declare that our agency is not indulged in any such activities which can be termed as the conflicting activities as mentioned in **Section 2: [Information to the Bidder] under Eligibility Criteria: Para (3)**.

I, also acknowledge that in case of misrepresentation of any of the information, our proposal / contract shall be rejected / terminated by the Client which shall be binding on us.

Authorized Signatory [In full initials with Date and Seal]: _____

Communication Address of the Bidder: _____

N.B.: Bidders should submit the required supporting documents as mentioned above. Non- submission of required documents as listed above will lead to rejection of the bid.

TECH - 7

Comments and Suggestions on the Terms of Reference / Scope of Work and Counterpart Staff and Facilities to be provided by the Client

A: On the Terms of Reference / Scope of Work:

[The Agency needs to present and justify in this section, if any modifications to the Terms of Reference, the Agency is proposing to improve performance in carrying out the assignment (such as deleting some activity considering unnecessary, or adding another, or proposing a different phasing of the activities / study process modifications). Such suggestions should be concise and to the point, and incorporated in the technical proposal. Modification / suggestion will not be taken into consideration without adequate justification.]

B: On Input and Facilities to be provide by the Client:

[Comment here on inputs and facilities to be provided by the Client with respect to the Scope of Work and Study Implementation]

Authorized Signatory [In full and initials]: _____

Name and Designation with Date and Seal: _____

TECH - 8

DESCRIPTION OF APPROACH, METHODOLOGY AND WORKPLAN TO UNDERTAKE THE ASSIGNMENT

[Technical approach, methodology and work plan are key components of the Technical Proposal. In this Section, bidder should explain his understanding of the scope and objectives of the assignment, approach to the services, methodology for carrying out the activities and obtaining the expected output, and the degree of detail of such output. Further, he should highlight the problems being addressed and their importance, and explain the technical approach to be adopted to address them. It is suggested to present the required information divided into following four sections]

A. Understanding of Scope, Objectives and Completeness of response

Please explain your understanding of the scope and objectives of the assignment based on the scope of work, the technical approach, and the proposed methodology adopted for implementation of the tasks and activities to deliver the expected output(s), and the degree of detail of such output. ***Please do not repeat/copy the ToR here.***

B. Description of Approach and Methodology:

- Key guiding principles for the Survey and Monitoring Team
- Understanding of the concept of institutional set up
- Review existing and Proposed framework
- Information matrix
- Highlight any challenges anticipated in delivering the expected outputs
- Approaches to overcome the challenges and meet the requirements of the assignment.
- Establishing system for Implementation Effectiveness
- Monitoring & Evaluation mechanism of programmes and interventions for better outcomes
- Check Validity and Reliability of results/outcome
- Any other issues mentioned in the ToR

C. Methodology to be adopted:

Explaining of the proposed methodologies to be adopted highlighting of the compatibility of the same with the proposed approach. This includes:

- Overall research design for analysis and Baseline documentation including thematic, goal wise, sector or area wise reports, presentation and other documents to be developed during the project period
- checklist capturing specific information areas would be developed
- Assessment Framework (process, output-impact log frame)
- Understanding and Appreciation of the assignment
- Suggestive tools for qualitative and quantitative data collection and analysis
- Field process protocol control
- Analysis of field data survey and preparation of report

- Suggestive note with parameters for guiding the programme implementing Departments/Agencies to improve its efficiency, efficacy and targeting in each thematic area of interventions
- Any other issues mentioned in the ToR

D. Staffing and Study Management Plan:

The bidder should propose and justify the structure and composition of the team and should enlist the main activities under the assignment in respect of the Key Professionals responsible for it. Further, it is necessary to enlist of the activities under the proposed assignment with sub- activities (week wise). (Graphical representation)

Authorized Signatory [*In full and initials*]: _____
Name and Designation with Date and Seal: _____

NB: Bidders are requested to furnish the above information limiting it up to 5-7 pages only with Arial Font Size-10.

TECH - 9

Format of Curriculum Vitae (CV) for Proposed Key Professional

1. Proposed Position:

[For each position of key professional separate form will be prepared]

2. Name of Firm:

3. Name of Staff:

4. Date of Birth:

5. Years with Firm:

6. Nationality:

7. Education:

[Indicate college/university and other specialized education of staff member, giving names of institutions, degrees obtained, and dates of obtainment]

8. Membership in Professional Associations:

9. Other Trainings:

10. Countries of Work Experience:

11. Languages:

[For each language indicate proficiency: excellent, good, fair, or poor; in speaking, reading, and writing]

12. Employment Record:

*[Starting with present position, list in reverse order every employment held by staff member since graduation, giving for each employment (see format here below): dates of employment, name of employing organization, positions held. For experience in **last three years**, also give types of activities performed and Client references, where appropriate as per the prescribed format given below]*

<i>From [Year]</i>	<i>To [Year]</i>
<i>Employer Name:</i>	
<i>Position Held:</i>	
<i>Details of the Task Assigned</i> <i>[List all tasks to be performed under this Assignment/job]</i>	

13. Work Undertaken that Best Illustrates Capability to Handle the Tasks Assigned

[Among the Assignment/jobs in which the staff has been involved, indicate the following information for those Assignment/jobs that best illustrate staff capability to handle the tasks listed under point 12.]

<i>Name of the Project</i>	
<i>Year</i>	
<i>Location</i>	
<i>Name of the Client</i>	
<i>Project Feature</i>	
<i>Position Held</i>	
<i>Activities Performed</i>	

Certification:

I, the undersigned, certify that to the best of my knowledge and belief that this CV correctly describes my qualifications and past experiences. I will undertake this assignment for the full project duration in terms of roles and responsibilities assigned in the technical proposal or any agreed extension of activities thereof. I understand that any misstatement herein leads to disqualification of CV.

Date:

Signature of Key Professional with Date _____

Authorized Signatory [In full and initials]: _____

Name and Designation with Date and Seal: _____

NB: CV writeup restricted to 3 pages only with quality information relevant to the key professional requirements. The CVs needs to be jointly signed by the proposed professional and the authorized representative of the Bidder.

TECH – 10

PROPOSED WORKPLAN TO CARRY OUT THE ASSIGNMENT

<i>Quarter</i> →				
<i>Sequence of Activities / Sub Activities</i>	Quarter-1	Quarter-2	Quarter-3	Quarter-4
↓				

Indicate all main activities / sub activities of the proposed assignment including delivery of reports (Inception, Periodic Reports) and other associate sub-periodic activities

Authorized Signatory [In full and initials]: _____

Name and Designation with Date and Seal: _____

TECH - 11

BID SECURITY DECLARATION IN LIEU OF BID SECURITY

Date: _____

To,

**The Member Secretary,
Poverty & Human Development Monitoring Agency,
Planning & Convergence Department
Lok Seva Bhawan, Odisha State Secretariat Bhubaneswar,
PIN-751001
Odisha**

I/We understand that, according to the RFP conditions, bids must be supported by a Bid Security Declaration in lieu & Bid Security.

If I/We declare that if we withdraw or modify the Bids during the period of validity etc.,

I/We will be suspended for the time specified in the RFP and if I/We are awarded the contract and fail to sign the contract or fail to submit a performance security before the deadline defined in the request for proposals (RFP) document, they will be suspended for the period of time specified in the request for proposals document from being eligible to submit Bids/Proposals for contracts with the Procuring Entity.

Signed:

in the capacity of: (insert legal capacity of person signing the Bid Securing Declaration) Dated

on _____ day of (insert date of signing)

SECTION - 5

ANNEXURE

BID SUBMISSION CHECK LIST

Slno	Description	Submitted (Yes/No)	Page No.
TECHNICAL PROPOSAL (ORIGINAL + 1COPY)			
1	Filled in Bid Submission Check List (ANNEXURE-I)		
2	Covering Letter (TECH -1)		
3	Bid Processing Fee of Rs. 1,000/- in form to DD/ BC		
4	Copy of Certificate of Incorporation / Registration of the Bidder		
5	Copy of PAN		
6	Copy of Goods and Services Tax Identification Number (GSTIN)		
7	Copies of IT Returns for the last 3 AYs (20-21, 21-22 & 22-23)		
8	General Details of the Bidder (TECH - 2)		
9	Financial details of the bidder (TECH - 3) along with all the supportive documents such as copies of Profit – Loss Statement and Balance Sheet for the concerned period		
10	Power of Attorney (TECH - 4) in favour of the person signing the bid on behalf of the bidder.		
11	List of completed assignments of similar nature (Past Experience Details) (TECH - 5) along with the copies of work orders for the respective assignments		
12	Self-Declaration on Potential Conflict of Interest (TECH- 6)		
13	Comments and Suggestions (TECH – 7)		
14	Description of Approach, Methodology & Work Plan (TECH - 8)		
15	CV of Key Professionals (TECH – 9)		
16	Work Plan (TECH – 10)		
17	Bid Security Declaration Template (TECH -11)		

Undertaking:

- *All the information have been submitted as per the prescribed format and procedure.*
- *Each part has been separately bound with no loose sheets and each page of all the two parts are page numbered along with Index Page.*
- *All pages of the proposal have been sealed and signed by the authorized representative.*

Authorized Signatory [In full and initials]: _____

Name and Designation with Date and Seal: _____

PERFORMANCE BANK GUARANTEE FORMAT

To,

**The Member Secretary,
Povert & Human Development Monitoring Agency,
Planning & Convergence Department
Lok Seva Bhawan, Odisha State Secretariat
Bhubaneswar, PIN-751001
Odisha**

WHEREAS (Name and address of the Agency) (hereinafter called “the *Survey and Monitoring Agency*”) has undertaken, in pursuance of RFP no..... dated to undertake the service (description of services) (herein after called “the contract”).

AND WHEREAS it has been stipulated by _____(Name of the Client) in the said contract that the Agency shall furnish you with a bank guarantee by a scheduled commercial bank recognized by you for the sum specified therein as security for compliance with its obligations in accordance with the contract;

AND WHEREAS we have agreed to give the supplier such a bank guarantee;

NOW THEREFORE we hereby affirm that we are guarantors and responsible to you, on behalf of the *Survey and Monitoring Agency*, up to a total of (amount of the guarantee in words and figures), and we undertake to pay you, upon your first written demand declaring the *Survey and Monitoring Agency / their Professional* engaged to be in default under the contract and without cavil or argument, any sum or sums within the limits of (amount of guarantee) as aforesaid, without your needing to prove or to show grounds or reasons for your demand or the sum specified therein.

We hereby waive the necessity of your demanding the said debt from the Agency before presenting us with the demand.

We further agree that no change or addition to or other modification of the terms of the contract to be performed there under or of any of the contract documents which may be made between you and the Agency/their Professional engaged under this assignment shall in any way release us from any liability under this guarantee and we hereby waive notice of any such change, addition or modification.

This performance bank guarantee shall be valid until theday of,

Our branch at Bhubaneswar (Name & Address of the Bank) is liable to pay the guaranteed amount depending on the filing of claim and any part thereof under this Bank Guarantee only and only if you serve upon us at our Bhubaneswar branch a written claim or demand and received by us at our Bhubaneswar branch on or before Dt.....otherwise bank shall be discharged of all liabilities under this guarantee thereafter.

.....
(Signature of the authorized officer of the Bank)
.....
Name and designation of the officer
.....
Seal, name & address of the Bank & Branch